

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yasushi AKIYAMA et al.

Docket No.

2002JP311

Serial No.

10/519,242

Filing Date

December 22, 2004

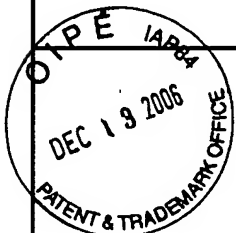
Examiner

WU, Ives J.

Group Art Unit

1713

Invention: COMPOSITION FOR ANTIREFLECTION COATING AND METHOD FOR FORMING PATTERN

I hereby certify that this IDS previously submitted on May 24, 2006 - 56 Pages

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on December 13, 2006

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EV 689560230 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.



RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket No. 2002JP311
Inventors: Yasushi AKIYAMA et al.
Serial No.: 10/519,242
Filed: December 22, 2004
Title: METHOD FOR ANTIREFLECTION COATING AND METHOD FOR
FORMING PATTERN

Receipt is hereby acknowledged of:

- 1) Information Disclosure Statement - 5 Pages
- 2) Information Disclosure citation - 2 Pages
- 3) JP 2001-133984 - 13 Pages
- 4) English Language Abstract of JP 2001-133984 - 1 Page
- 5) Machine Language English Translation from JPO of JP 2001-133984 - 16 Page
- 6) Office Action, and English Translation, for JP 2002-195582 - 7 Pages
- 7) Office Action for SG 200407376-3 - 6 Pages
- 8) Certificate of Mailing by First Class Mail for items 1 through 7
- 3) Return Postcard

Sent by First Class Mail on May 24, 2006 to:
MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

**Complete Return Address Label And
Affix To Front Of Postcard**

SANGYA JAIN
AZ ELECTRONIC MATERIALS USA
CORP.
70 MEISTER AVENUE
SOMERVILLE, NJ 08876

PATENT APPLICATION

CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is (XXX) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 or () being transmitted by facsimile to the U.S. Patent and Trademark Office. (Fax No. 571 273-8300) (pages). This facsimile is being sent from (908) 429-3650.



Date: May 24, 2006

Maria T. Sanchez
(Print Name)

Maria T. Sanchez
(Signature)

Attorney's Docket No. 2002JP311

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Yasushi AKIYAMA et al.

Serial No.: 10/519,242

Group Art Unit: 1713

Filed: December 22, 2004

Examiner: WU, Ives J.

For: **COMPOSITION FOR ANTIREFLECTION COATING AND METHOD FOR FORMING PATTERN**

**INFORMATION DISCLOSURE STATEMENT AFTER
MAILING DATE OF FINAL ACTION OR NOTICE OF ALLOWANCE BUT ON OR
BEFORE PAYMENT OF ISSUE FEE (37 CFR 1.97(d))**

12/15/2006 EFLDRES 00000045 503309 10519242
01 FC:1806 180.00 DA

May 24, 2006

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement transmitted herewith is being filed after a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but on or before the payment of the issue fee.

2. CERTIFICATION, PETITION AND FEE

In accordance with the requirements of 37 CFR 1.97(d):

A. Certification as specified in 37 CFR 1.97(e)

☒ I hereby certify that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The Office Actions, one for JP 2002-195582 and one for SG 200407376-3, are provided herein as they are counterparts of this patent application which have been filed in Japan and Singapore.

☐ I hereby certify that no item of information contained in this information disclosure statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this information disclosure statement.

B. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 CFR 1.97(d).

C. Applicant submits the petition fee set forth in 37 CFR § 1.17(i). (\$180.00)

FEE

Petition fee due (37 CFR § 1.17(i)) (\$180.00)

METHOD OF PAYMENT OF FEE

Please charge deposit account no. 50-3309 in the amount of \$180.00. A duplicate of this request is attached. If any additional fees are due, please charge deposit account no. 50-3309.

3. PELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Pursuant to the notice in the Official Gazette notice of August 5, 2003, 1276 O.G. 55, copies of US Patents and/or US Patent Publications are not being enclosed as the present application has a filing date after June 30, 2003.

4. COMPLETED FORM PTO-1449 IS ATTACHED.

5. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED

[] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. _____, filed on _____. The following references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

6. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement on PTO Form 1449.

JP 2001-133984

English Language Abstract of JP 2001-133984
Machine Language English Translation from JPO of JP 2001-133984

Office Action, and English Language Translation, for JP 2002-195582
Office Action for SG 200407376-3

☐ Exception(s) to above:

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in No. 5 above.

7. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

☒ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

English Language Abstract of JP 2001-133984
Machine Language English Translation from JPO of JP 2001-133984
English Language Translation of Office Action for JP 2002-195582

☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

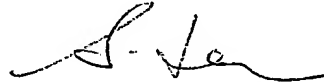
☒ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

Serial No.: 10/519,242
Filed: December 22, 2004

8. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION
DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis
of the information in the attorney's file.

Respectfully submitted:



Attorney for Applicant(s)
Sangya Jain
Reg. No. 38,504
AZ Electronic Materials USA Corp.
70 Meister Avenue
Somerville, NJ 08876
Telephone: (908) 429-3536
Fax: (908) 429-3650

Customer No. 26,289

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

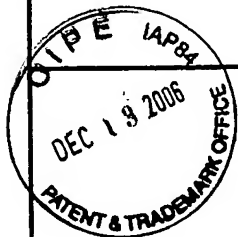
Applicant(s): Yasushi AKIYAMA et al.

Docket No.

2002JP311

Serial No.
10/519,242Filing Date
December 22, 2004Examiner
WU, Ives J.Group Art Unit
1713

Invention: COMPOSITION FOR ANTIREFLECTION COATING AND METHOD FOR FORMING PATTERN

I hereby certify that this Information Disclosure Citation - 2 Pages

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on

May 24, 2006

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

(Use several sheets if necessary)

2002JP31:

10/519,242

Yasushi AKIYAMA et al.

December 22, 2004

1713

ON DISCLOSURE C
(evaled sheets if necessary)
DEC 19 2006
PATENT & TRADEMARK OFFICE
DOCUMENT SUPPLY

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		English Language Abstract of JP 2001-133984.
		Office Action, and English Language Translation, for JP 2002-195582.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Docket Number (Optional) 2002JP31	Application Number 10/519,242
Applicant(s) Yasushi AKIYAMA et al.	
Filing Date December 22, 2004	Group Art Unit 1713

[illegible]

DATE CONSIDERED

P09B/REV04

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **Yasushi AKIYAMA et al.**

Docket No.

2002JP311

Serial No.

10/519,242

Filing Date

December 22, 2004

Examiner

WU, Ives J.

Group Art Unit

1713Invention: **COMPOSITION FOR ANTIREFLECTION COATING AND METHOD FOR FORMING PATTERN**I hereby certify that this **JP 2001-133984 - 13 Pages***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on

May 24, 2006*(Date)***MARIA T. SANCHEZ***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

PATENT APPLICATION

CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 on the date shown below as EXPRESS MAIL POST OFFICE TO ADDRESSEE, MAILING LABEL NO. EV 689560230 US.

Maria T. Sanchez
(Print Name)

Date: December 13, 2006

Maria T. Sanchez
(Signature)

Attorney's Docket No. 2002JP311

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Yasushi AKIYAMA et al.

Serial No.: 10/519,242

Group Art Unit: 1713

Filed: December 22, 2004

Examiner: WU, Ives J.

For: **COMPOSITION FOR ANTIREFLECTION COATING AND METHOD FOR FORMING PATTERN**

**INFORMATION DISCLOSURE STATEMENT AFTER
MAILING DATE OF FINAL ACTION OR NOTICE OF ALLOWANCE BUT ON OR
BEFORE PAYMENT OF ISSUE FEE (37 CFR 1.97(d))**

December 13, 2006

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL MAILING LABEL NO. EV 689560230 US

Sir:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement transmitted herewith is being filed after a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but on or before the payment of the issue fee.

2. CERTIFICATION, PETITION AND FEE

In accordance with the requirements of 37 CFR 1.97(d):

A. Certification as specified in 37 CFR 1.97(e)

[] I hereby certify that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

[X] I hereby certify that no item of information contained in this information disclosure statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this information disclosure statement.

B. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 CFR 1.97(d).

C. Applicant submits the petition fee set forth in 37 CFR § 1.17(i). (\$180.00)

FEE

Petition fee due (37 CFR § 1.17(i)) (\$180.00)

Serial No.: 10/519,242
Filed: December 22, 2004

METHOD OF PAYMENT OF FEE

Please charge deposit account no. 50-3309 in the amount of \$180.00. A duplicate of this request is attached. If any additional fees are due, please charge deposit account no. 50-3309.

3. PELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Pursuant to the notice in the Official Gazette notice of August 5, 2003, 1276 O.G. 55, copies of US Patents and/or US Patent Publications are not being enclosed as the present application has a filing date after June 30, 2003.

4. COMPLETED FORM PTO-1449 IS ATTACHED.

5. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED

[] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. _____, filed on _____. The following references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

6. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement on PTO Form 1449.

EXPRESS MAIL MAILING LABEL NO. EV 689560230 US

Serial No.: 10/519,242
Filed: December 22, 2004

☐ Exception(s) to above:

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in No. 5 above.

7. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

8. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



Attorney for Applicant(s)
Sangya Jain
Reg. No. 38,504
AZ Electronic Materials USA Corp.
70 Meister Avenue
Somerville, NJ 08876
Telephone: (908) 429-3536
Fax: (908) 429-3650

Customer No. 26,289

EXPRESS MAIL MAILING LABEL NO. EV 689560230 US

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yasushi AKIYAMA et al.

Docket No.

2002JP311

Serial No.

10/519,242

Filing Date

December 22, 2004

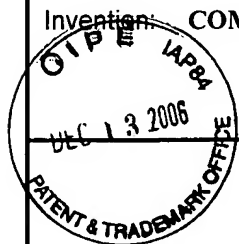
Examiner

WU, Ives J.

Group Art Unit

1713

Invention: COMPOSITION FOR ANTIREFLECTION COATING AND METHOD FOR FORMING PATTERN

I hereby certify that this Information Disclosure Citation - 1 Page

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on December 13, 2006

(Date)

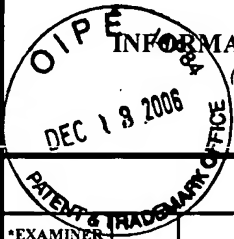
MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EV 689560230 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

2002JP311

Application Number

10/519,242

Applicant(s)

Yasushi AKIYAMA et al.

Filing Date

December 22, 2004

Group Art Unit

1713

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,611,850	3/18/1997	Nishi et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.